

Response Dated 12/3/2005

Reply to Office Action Requirement for Information Dated October 4, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 09/774,147

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 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 on December 3, 2005
 James Addison Barry, Jr.
 Signature: James Addison Barry, Jr.

Applicant: James Martin Billings

Filed: 01/30/2001

Art Unit: 3624

Examiner: Narayanswamy Subramanian

For: A METHOD AND SYSTEM FOR PROVIDING DOWNSIDE PROTECTION OF STOCK MARKET INVESTMENTS

Mail Stop Non-Fee Response
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

In response to the Office Action Requirement for Information dated October 4, 2005, having a shortened-statutory response period extending through and including December 3, 2005, please consider the following remarks:

Introductory Comments:

This application has been carefully reviewed in light of the Office Action Requirement for Information dated October 4, 2005, wherein:

Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

- (1) A copy of any non-patent literature, published application, or patent (U.S. or foreign), by any of the inventors, or information that was used in the invention process, such as by designing around or providing a solution to accomplish an invention result. In response to this requirement, please provide the title, citation and



12-05-05

3624
JFKW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

45

Application Number	09/774,147
Filing Date	01/30/01
First Named Inventor	James Martin Billings
Art Unit	3624
Examiner Name	Narayanswamy Subramanian

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply 5 pages	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> One--Computer CD with prototype
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Installation & Instructions for prototype--40 pages
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	James Addison Barry, Jr.		
Signature			
Printed name	James Addison Barry, Jr.		
Date	3 December 2005	Reg. No.	44,524

EXPRESS MAIL LABEL CERTIFICATE OF TRANSMISSION/MAILING ED 2486 37622 4S

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Signature			
Typed or printed name	James Addison Barry, Jr.	Date	3 December 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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